

Compilers and computer architecture

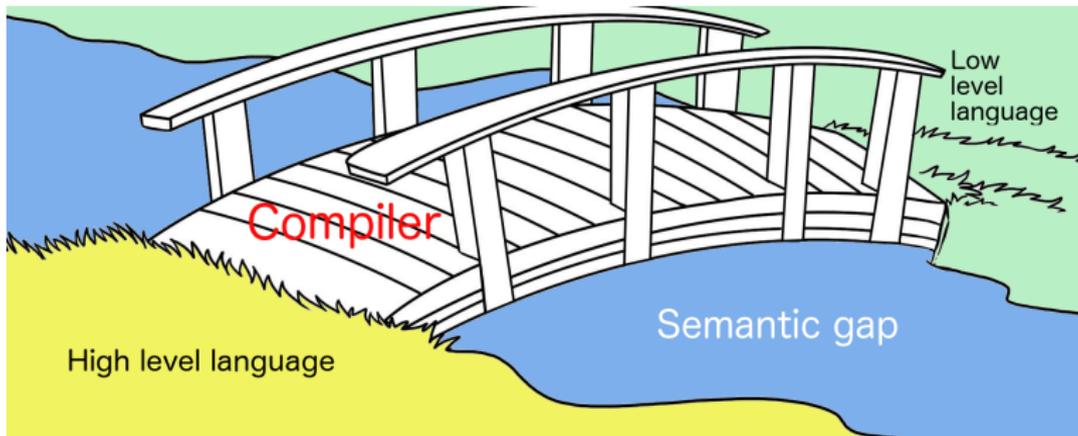
Code-generation (1): stack-machines

Martin Berger ¹

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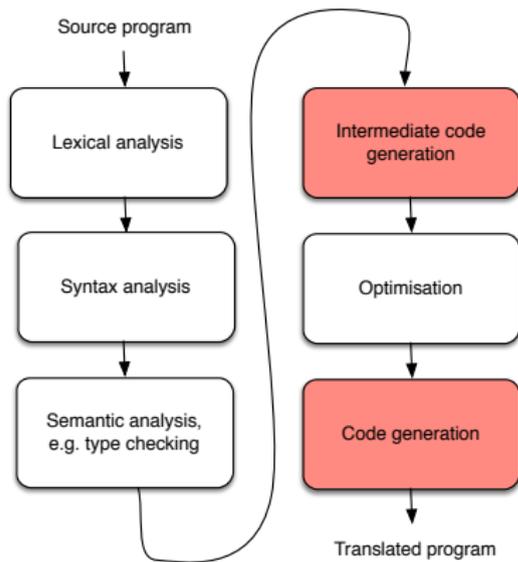
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Recall the function of compilers



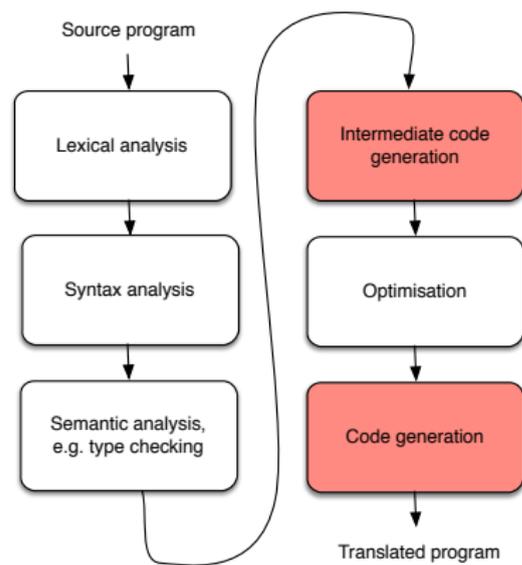
Plan for the next two weeks

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Remember the structure of a compiler?

Plan for the next two weeks



Remember the structure of a compiler?

We now look at code-generation. We start with the stack-machine architecture, because it is arguably the simplest machine architecture, allowing simple code generation. We then consider other, simple architectures such as the register and accumulator machines. This will give us all the tools we need to tackle a real processor (RISC-V).

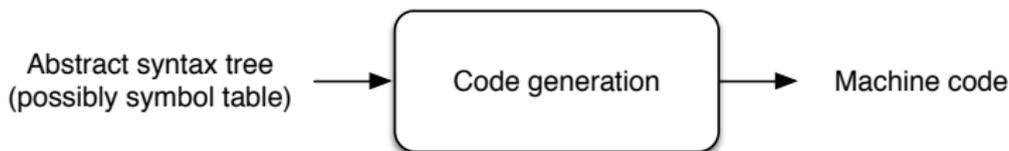
Code generator input / output

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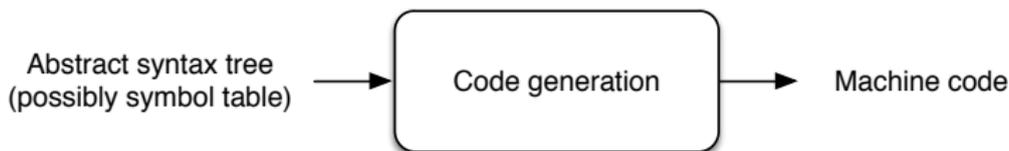
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Recall: ASTs are just convenient 'graphical' representations of programs that allow easy (= fast) access to sub-programs. When we see the code generators we'll realise why that is important for fast code generation.

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Recall: ASTs are just convenient 'graphical' representations of programs that allow easy (= fast) access to sub-programs. When we see the code generators we'll realise why that is important for fast code generation.

Note that the code generator is completely isolated from the syntactic detail of the source language (e.g `if` vs `IF`).

Source language

Source language

A really simple imperative language.

$$\begin{aligned} M &::= M;M \mid \text{for } x = E \text{ to } E \{M\} \mid x := E \\ E &::= n \mid x \mid E + E \mid E - E \mid E * E \mid E / E \mid -E \end{aligned}$$

Everything that's difficult to compile, e.g. procedures, objects, is left out. We come to that later

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Everything that's difficult to compile, e.g. procedures, objects, is left out. We come to that later

Example program.

```
x := 0;
for i = 1 to 100 {
  x := x + i;
  x := x + 1 }
```

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```
def codegen ( s : AST ) : List [ Instruction ] = ...
```

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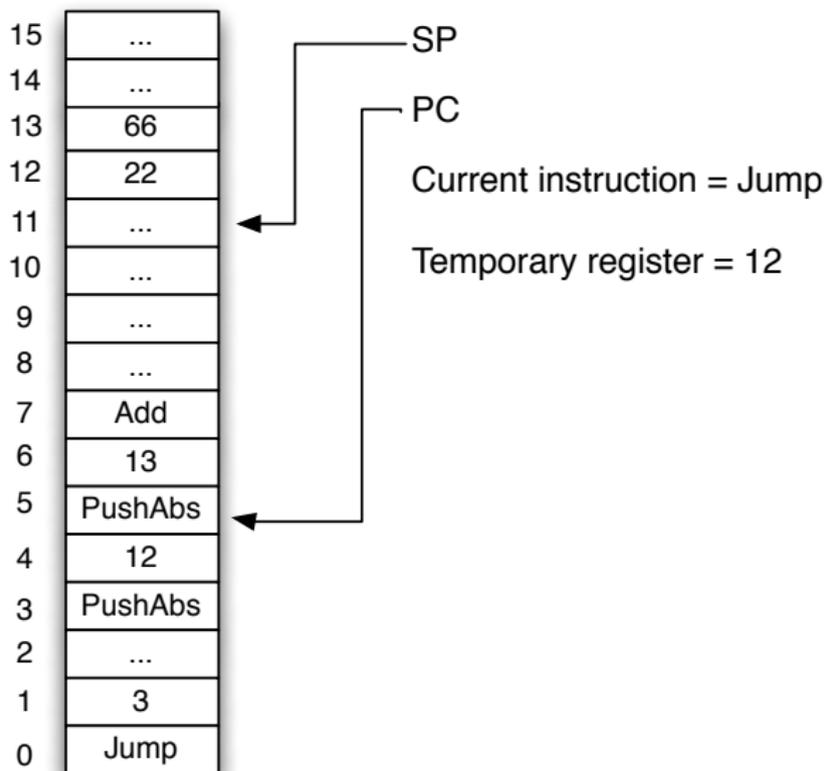
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This is simple, but can encode all computable programs.
Realistic CPUs are more complicated – for speed!

The stack machine as a picture

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Commands of the stack machine

Commands of the stack machine

Nop	Does nothing
Pop x	removes the top of the stack and stores it in x
PushAbs x	Pushes the content of the variable x on stack
PushImm n	Pushes the number n on stack
CompGreaterThan	Pops the top two elements off the stack. If the first one popped is bigger than the second one, pushes a 1 onto the stack, otherwise pushes a 0. (So 0 means <code>False</code>)
CompEq	Pops the top two elements off the stack. If both are equal, pushes a 1 onto the stack, otherwise pushes a 0.
Jump l	Jumps to l (l is an integer)
JumpTrue l	Jumps to address/label l if the top of the stack is not 0 Top element of stack is removed.

Commands of the stack machine

- Plus Adds the top two elements of the stack, and puts result on stack. Both arguments are removed from stack
- Minus Subtracts the top element of the stack from the element just below the top, and pushes the result on stack after popping the top two elements from the stack
- Times Multiplies the top two elements of the stack, and puts result on stack Both arguments are removed from stack
- Divide Divides the second element of the stack by the top element on the stack, and puts result on stack Both arguments are removed from stack
- Negate Negates the top element of the stack (0 is replaced by 1, any non-0 number is replaced by 0).

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Note: Jumping means writing the target address to the PC.

Commands of the stack machine in pseudo-code

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```
Interface Instruction
```

```
class I_Nop implements Instruction
```

```
class I_Pop implements Instruction
```

```
class I_PushAbs implements Instruction
```

```
class I_PushImm implements Instruction
```

```
class I_CompGreaterThan implements Instruction
```

```
class I_CompEq implements Instruction
```

```
class I_JumpTrue implements Instruction
```

```
class I_Jump implements Instruction
```

```
class I_Plus implements Instruction
```

```
class I_Minus implements Instruction
```

```
class I_Times implements Instruction
```

```
class I_Divide implements Instruction
```

```
class I_Negate implements Instruction
```

```
class I_ConstInt ( n : Int ) implements Instruction
```

```
class I_DefineLabel ( id : String ) implements Instru
```

A convenient pseudo-command (1)

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We need to store integers as second arguments, e.g. for `Pop`. This is the purpose of `I_ConstInt`. It's not a machine command but a **pseudo-code** representation of an integer.

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We want to jump to addresses, e.g. `JumpTrue 1420`.

But numerical addresses like `1420` are hard to memorise for humans. It's better to have **symbolic** addresses like `JumpTrue Loop_Exit`.

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abstract class Instruction
  ...

  class I_DefineLabel ( id : String )
    implements Instruction
```

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```
abstract class Instruction
    ...

    class I_DefineLabel ( id : String )
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```

Note that `I_DefineLabel` doesn't correspond to a machine instruction. It's just a convenient way to set up labels (humanly readable forms of addresses). Labels will be removed later (typically by the linker) and replaced by memory addresses (numbers). More on that later.

A typical assembly language program

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```
start:  
  PushAbs i  
  PushImm 1  
  Minus  
  Pop i  
  PushAbs i  
  PushImm 0  
  CompEq  
  Negate  
  JumpTrue start
```

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What does it do?

Note once more: labels like `start` appear in the compiler's output stream, even though they don't correspond to instructions. They will be removed later (e.g. by the linker). Can you think of another reason why symbolic addresses are a good idea? To enable running programs at different places in memory (relocation).

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If we were to start the program above at memory location 3, and the variable `i` was located at 44, then we'd get the memory layout on the right (each command would itself be represented as a number).

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If we were to start the program above at memory location 3, and the variable *i* was located at 44, then we'd get the memory layout on the right (each command would itself be represented as a number).

17	...
16	3
15	JumpTrue
14	CompEq
13	0
12	PushImm
11	44
10	PushAbs
9	44
8	Pop
7	Minus
6	1
5	PushImm
4	44
3	PushAbs
2	...

← start

Stack machines have several advantages

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- ▶ **Simplicity**: easy to describe & understand.
- ▶ **Simple compilers**: code generation for stack machines is much simpler than for register machines, since e.g. no register allocation is needed (we'll talk about register allocation later).
- ▶ **Compact object code**, which saves memory. The reason for this is that machine commands have no, or only one argument, unlike instructions for register machines (which we learn about later).
- ▶ **Simple CPUs** (= cheap, easy to manufacture).

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Stack machines have disadvantages, primarily that they are **slow** (see e.g. the Wikipedia page on stack machines), but for us here simplicity of code generation is key.

The semantics of the stack machine

The semantics of the stack machine

Before looking at the code generation process, I'd like to discuss the semantics of the stack machine in a slightly different manner, by giving a simple interpreter for stack machine commands. This enables you to implement (simulate) a stack machine.

I recommend that you do this yourself by translating the pseudo code below to a language of your choice.

The semantics of the stack machine (in pseudo-code)

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```
class StackMachine ( maxMem : Int ) {  
  
  private val mem = Array.fill ( maxMem ) ( 0 )  
  private var pc = 0  
  private var ir = 0  
  private var sp = 0 // Stack grows downwards.  
                    // Question: why 0, not maxMem-1?  
  private var temp = 0  
  
  while ( true ) {  
    ir = mem ( pc )  
    pc = ( pc + 1 ) % maxMem  
    if opcode ( ir ) is of form  
      I_Nop then ...  
      I_Pop then ...  
      I_PushAbs then ...  
      I_PushImm then ...  
      I_CompGreaterThan then ...  
      ... } } }
```

The semantics of the stack machine

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The function `opcode` takes an integer (e.g. 7) and returns an instruction (e.g. `I_PushImm`).

Assigning numbers to instructions is by convention, (e.g. we could have associated 19 with `I_PushImm`). But each CPU architecture must make such a choice.

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The function `opcode` takes an integer (e.g. 7) and returns an instruction (e.g. `I_PushImm`).

Assigning numbers to instructions is by convention, (e.g. we could have associated 19 with `I_PushImm`). But each CPU architecture must make such a choice.

We are now ready to explain each instruction in detail.

Semantics of Nop

Semantics of Nop

```
class StackMachine ( maxMem : Int ) {  
  
  private val mem = Array.fill ( maxMem ) ( 0 )  
  private var pc = 0  
  private var ir = 0  
  private var sp = 0  
  private var temp = 0  
  while ( true ) {  
    ir = mem ( pc )  
    pc = ( pc + 1 ) % maxMem  
    if opcode ( ir ) is of form  
      I_Nop then {} // I_Nop does nothing.  
    ...  
  }  
}
```

Semantics of Pop

Semantics of Pop

```
class StackMachine ( maxMem : Int ) {  
  
  private val mem = Array.fill ( maxMem ) ( 0 )  
  private var pc = 0  
  private var ir = 0  
  private var sp = 0  
  private var temp = 0  
  while ( true ) {  
    ir = mem ( pc )  
    pc = ( pc + 1 ) % maxMem  
    if opcode ( ir ) is of form  
      ...  
      I_Pop then {  
        temp = mem ( sp )  
        sp = ( sp + 1 ) % maxMem  
        val operand = mem ( pc )  
        pc = ( pc + 1 ) % maxMem  
        mem ( operand ) = temp }  
      ...  
  }
```

Semantics of PushAbs

Semantics of PushAbs

```
class StackMachine ( maxMem : Int ) {  
  
  private val mem = Array.fill ( maxMem ) ( 0 )  
  private var pc = 0  
  private var ir = 0  
  private var sp = 0  
  private var temp = 0  
  while ( true ) {  
    ir = mem ( pc )  
    pc = ( pc + 1 ) % maxMem  
    if opcode ( ir ) is of form  
      ...  
      I_PushAbs then {  
        val operand = mem ( pc )  
        pc = ( pc + 1 ) % maxMem  
        sp = ( sp - 1 ) % maxMem  
        temp = mem ( operand )  
        mem ( sp ) = temp }  
      ...  
  }
```

Semantics of PushImm

Semantics of PushImm

```
class StackMachine ( maxMem : Int ) {  
  
  private val mem = Array.fill ( maxMem ) ( 0 )  
  private var pc = 0  
  private var ir = 0  
  private var sp = 0  
  private var temp = 0  
  while ( true ) {  
    ir = mem ( pc )  
    pc = ( pc + 1 ) % maxMem  
    if opcode ( ir ) is of form  
      ...  
    I_PushImm then {  
      temp = mem ( pc )  
      pc = ( pc + 1 ) % maxMem  
      sp = ( sp - 1 ) % maxMem  
      mem ( sp ) = temp }  
    ...  
  }
```

Semantics of CompGreaterThan

Semantics of CompGreaterThan

```
class StackMachine ( maxMem : Int ) {  
  
  private val mem = Array.fill ( maxMem ) ( 0 )  
  private var pc = 0  
  private var ir = 0  
  private var sp = 0  
  private var temp = 0  
  while ( true ) {  
    ir = mem ( pc )  
    pc = ( pc + 1 ) % maxMem  
    if opcode ( ir ) is of form  
      ...  
      I_CompGreaterThen then {  
        temp = mem ( sp )  
        sp = ( sp + 1 ) % maxMem  
        temp = temp - mem ( sp )  
        if ( temp > 0 )  
          mem ( sp ) != 0 // non-0 means true  
        else  
          mem ( sp ) = 0 } // 1 means false
```

Semantics of CompEq

Semantics of CompEq

```
class StackMachine ( maxMem : Int ) {  
  
  private val mem = Array.fill ( maxMem ) ( 0 )  
  private var pc = 0  
  private var ir = 0  
  private var sp = 0  
  private var temp = 0  
  while ( true ) {  
    ir = mem ( pc )  
    pc = ( pc + 1 ) % maxMem  
    if opcode ( ir ) is of form  
      ...  
      I_CompEq then {  
        temp = mem ( sp )  
        sp = ( sp + 1 ) % maxMem  
        temp = temp - mem ( sp )  
        if ( temp == 0 )  
          mem ( sp ) = 1 // 1 means true  
        else  
          mem ( sp ) = 0 } // non-1 means false
```

Semantics of Jump

Semantics of Jump

```
class StackMachine ( maxMem : Int ) {  
  
  private val mem = Array.fill ( maxMem ) ( 0 )  
  private var pc = 0  
  private var ir = 0  
  private var sp = 0  
  private var temp = 0  
  while ( true ) {  
    ir = mem ( pc )  
    pc = ( pc + 1 ) % maxMem  
    if opcode ( ir ) is of form  
      ...  
      I_Jump then {  
        pc = mem ( pc ) }  
      ...  
  }
```

Semantics of JumpTrue

Semantics of JumpTrue

```
class StackMachine ( maxMem : Int ) {  
  ...  
  while ( true ) {  
    ir = mem ( pc )  
    pc = ( pc + 1 ) % maxMem  
    if opcode ( ir ) is of form  
      ...  
      I_JumpTrue then {  
        temp = mem ( sp )  
        sp = ( sp + 1 ) % maxMem  
        if ( temp == 1 ) // 1 means true, non-1  
                        // means false  
          pc = mem ( pc )  
        else  
          pc = ( pc + 1 ) % maxMem }  
      ...  
  }
```

Semantics of Plus

Semantics of Plus

```
class StackMachine ( maxMem : Int ) {  
  
  private val mem = Array.fill ( maxMem ) ( 0 )  
  private var pc = 0  
  private var ir = 0  
  private var sp = 0  
  private var temp = 0  
  while ( true ) {  
    ir = mem ( pc )  
    pc = ( pc + 1 ) % maxMem  
    if opcode ( ir ) is of form  
      ...  
    I_Plus then {  
      temp = mem ( sp )  
      sp = ( sp + 1 ) % maxMem  
      temp = temp + mem ( sp )  
      mem ( sp ) = temp }  
    ...  
  }
```

Semantics of Minus

Semantics of Minus

```
class StackMachine ( maxMem : Int ) {  
  
  private val mem = Array.fill ( maxMem ) ( 0 )  
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  private var ir = 0  
  private var sp = 0  
  private var temp = 0  
  while ( true ) {  
    ir = mem ( pc )  
    pc = ( pc + 1 ) % maxMem  
    if opcode ( ir ) is of form  
      ...  
    I_Plus then {  
      temp = mem ( sp )  
      sp = ( sp + 1 ) % maxMem  
      temp = mem ( sp ) - temp  
      mem ( sp ) = temp }  
    ...  
  }
```

Semantics of remaining commands

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Similar to the above.

A naive code generator for the stack machine

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We now present a syntax-directed code generator for the simple source language with assignment, sequencing and 'for' loops.

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The structure of the translator is derived directed from the AST data type: we deal with each of the alternatives using a separate rule.

In Java a common approach is to use **reflection** (see `instanceof` or `getClass().getName()`). Alternatively, you can use a **visitor pattern** for AST traversal.

Recall syntax of source language

$$M ::= M;M \mid \text{for } x = E \text{ to } E \{M\} \mid x := E$$
$$E ::= n \mid x \mid E + E \mid E - E \mid E * E \mid E / E \mid -E$$

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So we are looking to write the following pseudo-code:

```
def codegen ( s : AST ) = {  
  if s is of form  
    Sequence ( lhs, rhs ) then { ... }  
    Assign ( x, rhs ) then { ... }  
    For ( loopVar, from, to, body ) then { ... }
```

Translation of Sequencing

Translation of Sequencing

```
def codegen ( s : AST ) : ... = {  
  if s is of form  
    Sequence ( lhs, rhs ) then  
      codegen ( lhs ) ++ codegen ( rhs )  
    ...
```

Note that ++ is list concatenation

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So all we are doing is generate the code for the lhs, and then concatenate it with the generated code for the rhs. This works because our assembly language has a sequencing operator (string concatenation), and we can map the sequencing of the source language directly to the sequencing operation of the target language.

Translation of Sequencing

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So all we are doing is generate the code for the lhs, and then concatenate it with the generated code for the rhs. This works because our assembly language has a sequencing operator (string concatenation), and we can map the sequencing of the source language directly to the sequencing operation of the target language.

In other words: **recursion** does most of the work here.

Translation of Assignment $x := E$

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Note that we are assuming here to have a code generator for expressions (given soon) with the following signature.

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def codegenExpr ( exp : Expr )  
    : List [ Instruction ] = { ... }
```

Translation of Assignment $x := E$

Note that we are assuming here to have a code generator for expressions (given soon) with the following signature.

```
def codegenExpr ( exp : Expr )  
    : List [ Instruction ] = { ... }
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What is the semantics of the code for expressions?

Translation of Assignment $x := E$

Note that we are assuming here to have a code generator for expressions (given soon) with the following signature.

```
def codegenExpr ( exp : Expr )  
    : List [ Instruction ] = { ... }
```

What is the semantics of the code for expressions? The result of executing the **translated** expression **at run-time** is left on the **top of the stack**.

Translation of Assignment $x := E$

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With this convention about `codegenExpr` we can now translate assignment as follows.

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With this convention about `codegenExpr` we can now translate assignment as follows.

```
def codegen ( s : AST ) : ... = {  
  if s is of form  
    Assign ( x, rhs ) then  
      codegenExpr ( rhs ) ++  
      List ( I_Pop, I_ConstInt ( x ) )  
  ...  
}
```

Code in **red** is generated machine code, it will not be executed by the code generator.

Translation of Assignment

Translation of Assignment

Note that we've been a bit sloppy as the constructor

```
class Assign ( x : String, rhs : Expr )  
  implements AST
```

takes a string as first argument (because it's convenient for humans to use strings and give meaningful names to variables), but in

```
Assign ( x, rhs ) then  
  codegenExpr ( rhs ) ++  
  List ( I_Pop, I_ConstInt ( x ) )
```

we assume `x` is an integer (memory address), because that's what the CPU expects. So really you'd have to add a 'mediator' to transform symbolic into numeric addresses (and/or vice versa).

Translation of For-Loops

Translation of For-Loops

To be able to translate loops, we need a new construct

```
newLabel ()
```

which, when invoked generates a fresh label every time it is called. For example `newLabel ()` returns the string `"label_1"` on first invocation and the string `"label_2"` on second invocation. So the implementation of `newLabel ()` must use a global counter, or some comparable mechanism.

Translation of For-Loops

Translation of For-Loops

```
def codegen ( s : AST ) : ... = {  
  if s is of form  
    For ( loopVar, from, to, body ) then {  
      val loopCondition = newLabel ()  
      val loopExit = newLabel ()  
      codegenExpr ( from ) ++  
      List ( I_Pop, I_ConstInt ( loopVar ),  
            I_DefineLabel ( loopCondition ) ) ++  
      codegenExpr ( to ) ++  
      List ( I_PushAbs, I_ConstInt ( loopVar ),  
            I_CompGreaterThan ,  
            I_JumpTrue, I_ConstInt ( loopExit ) ) ++  
      codegen ( body ) ++  
      List ( I_PushAbs, I_ConstInt ( loopVar ),  
            I_PushImm, I_ConstInt ( 1 ),  
            I_Plus ,  
            I_Pop, I_ConstInt ( loopVar ),  
            I_Jump, I_ConstInt ( loopCondition ),  
            I_DefineLabel ( loopExit ) ) }  
  ...  
}
```

Translation of expressions

Translation of expressions

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Other conventions are possible, e.g. leave it in the temporary variable.

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Recall expressions:

$$E ::= n \mid x \mid E + E' \mid E - E' \mid E * E' \mid E / E' \mid -E$$

Translation of expressions

Translation of expressions

```
def codegenExpr ( exp : Expr ) : List [ Instruction ] =  
  if opcode ( exp ) is of form  
    Binop ( lhs, op, rhs ) then  
      codegenExpr ( rhs ) ++  
      codegenExpr ( lhs ) ++  
      codegenBinop ( op )  
    Unop ( Minus, e ) then  
      List ( I_PushImm, I_ConstInt ( 0 ) ) ++  
      codegenExpr ( e ) ++  
      List ( I_Minus )  
    Ident ( x ) then List ( I_PushAbs, I_ConstInt ( x ) )  
    Const ( n ) then List ( I_PushImm, I_ConstInt ( n ) )
```

Note that we are assuming here to have a code generator for binary and expressions (given soon) with the following signature.

```
def codegenBinop (op : Op) : List[Instruction] = {...}
```

Translation of binary operations

Translation of binary operations

```
def codegenBinop ( op : Op ) : List [ Instruction ] =  
  if op is of form  
    Plus then List ( I_Plus )  
    Minus then List ( I_Minus )  
    Times then List ( I_Times )  
    Divide then List ( I_Divide ) } }
```

Translation of binary operations

```
def codegenBinop ( op : Op ) : List [ Instruction ] =  
  if op is of form  
    Plus then List ( I_Plus )  
    Minus then List ( I_Minus )  
    Times then List ( I_Times )  
    Divide then List ( I_Divide ) } }
```

We are 'lucky' here in that the arithmetic operations of our source language map directly to corresponding instructions in the target language (stack machine commands). For more complex arithmetic operations this cannot be guaranteed, e.g. m^n or $\cosin(x)$. The translation of those is more involved.

Example translation

Example translation

Consider the following program.

```
x := 0;  
for i = 1 to 100 {  
    x = x + i;  
    x = x + 1 }
```

Translation of program from prev. slide

For tersity we write e.g. `I_PushImm (76)` instead of

```
I_PushImm
```

```
I_ConstInt (76)
```

and likewise for all other commands with arguments.

Translation of program from prev. slide

Translation of program from prev. slide

```
I_PushImm ( 0 )           // Begin first command x = 0
I_Pop ( x )
I_PushImm ( 1 )           // Initialisation of loop
I_Pop ( i )
I_DefineLabel ( loopCondition )
I_PushImm ( 100 )         // test for loop termination
I_PushAbs ( i )
I_CompGreaterThan
I_JumpTrue ( loopExit )
I_PushAbs ( i )           // Command x = x+i
I_PushAbs ( x )
I_Plus
I_Pop ( x )
I_PushImm ( 1 )           // Command x = x+1
I_PushAbs ( x )
I_Plus
I_Pop ( x )
I_PushImm ( 1 )           // Incrementing loop variable
I_PushAbs ( i )
I_Plus
I_Pop ( i )
I_Jump ( loopCondition )
I_DefineLabel( loopExit )
```

Conclusion

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This chapter has shown how a code generator can be written, which takes an AST as input and produces a working assembler program as output.

We divided the problem into two parts: code generation for statements (e.g. assignment, looping, sequencing etc), and code generation for expressions.

For each statement type, the code generator uses a standard "template" heavily based on recursive calls to the code generator; the details of the statement determine how the gaps are filled in.

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For each statement type, the code generator uses a standard "template" heavily based on recursive calls to the code generator; the details of the statement determine how the gaps are filled in.

For expressions we used a simple, stack-based scheme; we will study better, more complicated CPU architecture soon.

We haven't yet looked at procedures, objects, declarations, records, etc.

The material in the textbooks

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- ▶ Appel, Palsberg: Chapter 7, Chapter 9 (although Appel, Palsberg skip simple code generation and concentrate on finding the best instruction to match the context).
- ▶ "Engineering a compiler": Section 4.4: ad-hoc syntax-directed translation, especially Figure 4.14.