

1 **Conscious versus unconscious learning of structure**

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3
4 *Zoltan Dienes*
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7 8 **1. Introduction** 9

10 The ways we come to learn about the structure of complex environments
11 is intimately linked to the conscious-unconscious distinction. Indeed, Reber
12 (1967, 1989) argued that we could acquire unconscious knowledge of some
13 structures we could not readily consciously learn about because of their
14 complexity. Some authors agree there are two modes of learning distin-
15 guished by their conscious versus unconscious phenomenology (e.g. Scott &
16 Dienes, 2010a). Others at least agree there are striking differences in phe-
17 nomenology in different learning situations. For example, Shanks (2005),
18 who does not accept there is such thing as unconscious knowledge, none-
19 theless notes that when he himself performed a standard implicit learning
20 task that he found that “trying to articulate my knowledge, even only
21 moments after performing the task, seem[ed] to require a Herculean effort
22 of mental will that yield[ed] only the sketchiest useful information. (p. 211)”
23 By contrast, in yet other learning situations, knowledge can be readily
24 described as it is being applied. The everyday example of natural language
25 makes the contrast between these phenomenologies stark. We all learnt
26 the main grammatical constructions of our native language by about age
27 five without even consciously knowing there was a grammar to be learnt.
28 And as adults we still cannot describe all the rules we spontaneously use.
29 Yet when we learn a second language as an adult we may spend consider-
30 able time memorising rules of grammar. The two methods of learning feel
31 very different and produce different results. By suitably defining conscious
32 versus unconscious we can describe the difference in phenomenologies. And
33 that difference may well be a marker of different mechanisms of learning.
34 Indeed, the difference in phenomenology is so striking that the distinction
35 was constantly reinvented before it became part of an established literature,
36 despite behaviouristic tendencies in psychologists to avoid the conscious
37 versus unconscious terms (e.g. Broadbent, 1977; Hull, 1920; Lewicki, 1986;
38 Phelan, 1965; Reber, 1967; Rommetveit, 1960; Smoke, 1932). Thus, the
39 starting point for a definition of conscious versus unconscious should be
40 one that picks out the real life examples that motivate the distinction,

1 and not one that makes the distinction evaporate. Just as phenomenologi-
2 cally unconscious learning seems especially powerful when we consider
3 language or perceptual motor skills (Reed, McLeod, & Dienes, 2010), so
4 many things are learnt only consciously (e.g. special relativity). Often
5 what is interesting is the fact we can or cannot learn a structure uncon-
6 consciously (or consciously) – not just whether we can or cannot learn it.
7 Thus, it is vital for learning researchers to have a means for determining
8 the conscious or unconscious status of knowledge, suitably defined. Only
9 then can we experimentally explore whether the phenomenologies mark
10 qualitatively different, if possibly interacting, learning mechanisms – and
11 also isolate those mechanisms on a trial by trial basis.

12 In this chapter I present a methodology for determining the conscious
13 status of structural knowledge. First, I offer a definition of what an uncon-
14 conscious mental state is. Then I will use the definition to motivate a method
15 of measuring the conscious-unconscious distinction. The method can be
16 applied separately to different knowledge contents, specifically to “judgment”
17 knowledge and “structural” knowledge, which will be defined. Next I
18 review evidence that when the method is used to separate conscious from
19 unconscious structural knowledge it isolates different learning systems
20 (thus, the method shows its scientific worth). Finally, I present recent experi-
21 ments showing its application (to cross cultural differences and to learning
22 language-like structure in the lab).

23

24

25 **2. What is unconscious knowledge?**

26

27 I will take conscious knowledge to be knowledge one is conscious of (cf.
28 Rosenthal, 2005; also Carruthers, 2000). This may sound like a tautology,
29 but it is not, as we now see. How are we ever conscious of anything, say,
30 of a dog being there? Only by either perceiving that the dog is there or
31 thinking that it is there; that is, only by having some mental state that
32 asserts that the dog is there. Likewise, to be conscious of knowledge we
33 need to have a mental state that asserts that the knowledge is there. In
34 other words, a higher-order mental state (a mental state about a mental
35 state) is needed to be conscious of knowing. To establish that knowledge
36 is conscious one must establish that the subject is in a metacognitive state
37 of knowing about knowing: and this claim is not a tautology. Simply
38 showing that a person knows about the world (for example, by accurately
39 discriminating states of the world) will not do for determining whether the
40 knowledge is conscious. Establishing that there is knowledge is just a pre-

1 condition for establishing whether it is conscious or not. But establishing
2 that there is knowledge clearly does not establish whether the knowledge is
3 conscious or unconscious. In sum, accepting that: *conscious knowledge is*
4 *knowledge one is conscious of*, is to accept that: *establishing the conscious*
5 *status of knowledge requires establishing the existence of a metacognitive*
6 *state* (see Rosenthal, e.g. 2005, from whom this argument has been adapted
7 to the case of knowledge). The argument can be put concisely: To be con-
8 scious of X requires a mental state about X; knowing is a mental state; thus,
9 being conscious of knowing requires a mental state about a mental state.

10 Some argue that metacognition is one thing and a state being conscious
11 is another; *being aware that one is in a mental state* is ‘introspective’ or
12 ‘reflective’ or ‘higher order’ consciousness, which is not necessary for a
13 mental state to be simply conscious (Block, 2001; Dulany, 1991; Seth,
14 2008). While it seems odd to say that a mental state is conscious when
15 a person is in no way conscious of being in that state, there is no point
16 quibbling excessively over terminology. For those who argue that there is
17 higher order consciousness separately from a mental state being conscious,
18 they can simply translate what I am calling “conscious knowledge” into
19 “reflectively conscious knowledge” or whatever their favourite term is.
20 The scientific problem I will be addressing is to determine whether the
21 fact of whether or not one is aware of one’s knowledge (or can be when
22 probed) can distinguish qualitatively different types of knowledge or learn-
23 ing mechanisms. Once this point is accepted, a lot of heat in the implicit
24 learning literature can be side stepped. For example, Dulany (1991), a
25 critic of the existence of unconscious knowledge, nonetheless accepts as
26 obvious that we can be in a mental state without being aware that we are
27 (e.g. p. 109). And knowing without being aware of knowing is exactly what
28 I am calling unconscious knowledge. Having some match to everyday use
29 is the reason for using a term at all, and the definition of conscious knowl-
30 edge as ‘the knowledge of which one is conscious’ in no way stretches the
31 everyday use of the word. On the other hand, allowing knowledge to
32 be conscious that a person sincerely denies having probably does stretch
33 normal usage. In the end however, the test is not armchair argument or
34 exact every day usage, but whether the definition is useful in conjunction
35 with a theory in predicting experimental findings (Dienes, 2008; Dienes &
36 Scott, 2005; Dienes & Seth, 2010a; Merikle, 1992; Seth et al., 2008). And
37 that is what we will explore: Does the definition pull itself up by its boot-
38 straps by being scientifically useful? First, we need to find a way of opera-
39 tionalising the definition.

40

3. How can we measure awareness of knowing?

To establish that knowledge is conscious requires establishing the existence of a metacognitive state (Cleeremans, 2008; Dienes, 2008; Lau, 2008). Thus, establishing that a person can make a discrimination about states of affairs in the world (“worldly discrimination”) with forced choice discrimination or recognition tests establishes only that there is knowledge, but not that it is conscious. How could we determine whether or not a person is conscious of their knowledge? One way is to ask them to freely report what they know about the domain. The logic of this method is that a person will only report as facts about the world what they think they know. Indeed, free report has been used as a test of conscious knowledge ever since the word “implicit learning” was coined by Reber (1967) to mean learning that produces unconscious knowledge.

Reber (1967) introduced the artificial grammar learning task as a task for investigating implicit learning. He used a finite state grammar to generate strings of letters. Subjects memorised such strings without being told that the strings were rule governed. After 5–10 minutes subjects were told of the existence of a set of rules, but not what they were. Subjects could classify new strings as grammatical or not, with 60–70% accuracy depending on materials. However, at the end of the experiment people found it hard to describe the rules of the grammar, and Reber took this as evidence that subjects lacked conscious knowledge. Such “post task free report” has been widely used to determine the extent of people’s conscious knowledge. But it is not a compelling measure because it involves subjects’ attempts to recall their thinking some time after it happened (Ericsson and Simon, 1980). Reber and Lewis (1977) asked people to report their reasons after each classification instead of at the end of a set of trials, clearly an improvement, and a source of valuable information about the person’s conscious knowledge. Nonetheless, the method is still problematic.

Berry and Dienes (1993, p. 38) isolated two potential problems with any measure of conscious knowledge. The first is “simply not asking for the same knowledge that the subject used to classify” and the second problem is of “differential test sensitivity” (the two problems also highlighted by Shanks and St John, 1994). In terms of the first, if people did not use general rules to classify but instead analogy to recollected training items, they may not report their actual source of grammaticality judgments if they believe the experimenter is only interested in hearing about rules (Brooks, 1978; Jamieson & Mewhort, 2009). Alternatively, people may not report using the experimenter’s rules if specifically asked about them,

1 because they used a correlated but different rule (Dulany, 1962). This
2 problem can be overcome by suitable instructions, but it does highlight
3 the care that needs to be taken in collecting free reports (Ruenger &
4 Frensch 2010). In terms of the second problem, people may hold back on
5 reporting knowledge if they are not completely confident in it. Why risk
6 stating a rule that might be wrong? Thus, free report might easily under-
7 estimate the amount of conscious knowledge that a person has.

8 A method that deals with both these problems is eliciting confidence
9 after every judgment. Any knowledge the participant is conscious of using
10 as knowledge, no matter what its content, should be reflected in the partic-
11 ipant's confidence. Further, using confidence ratings has an advantage over
12 free report in that low confidence is no longer a means by which relevant
13 conscious knowledge is excluded from measurement; rather the confidence
14 itself becomes the object of study and can be directly assessed on every trial.
15 Indeed, Ziori and Dienes (2006) provided empirical evidence for the greater
16 sensitivity of confidence-based methods over free report in detecting con-
17 scious knowledge.

18 The simplest confidence scale is for the subject to report 'guess' if she
19 believes the judgment had no basis whatsoever, and 'know' if she believes
20 the judgment constituted knowledge to some extent. If on all the trials
21 when the person says 'guess' nonetheless the discrimination performance
22 is above baseline, then there is evidence that the person does have knowl-
23 edge (performance above baseline) that she doesn't know she has (she says
24 she is guessing). This is unconscious knowledge by the *guessing criterion*.
25 If a person's knowledge states are conscious, she will know when she
26 knows and when she is just guessing. In this case, there should be a relation
27 between confidence and accuracy. Thus, a relation between confidence and
28 accuracy indicates conscious knowledge and zero relation indicates uncon-
29 scious knowledge by the *zero-correlation criterion* (Dienes, Altmann, Kwan,
30 & Goode, 1995).

31 Confidence can also be elicited by various methods of gambling. Persaud,
32 McLeod, & Cowey (2008) asked people to wager high or low on each gram-
33 maticality decision. Subjects were told that if their decision was wrong
34 they would lose the amount of the wager and if their decision was right
35 they would win that amount. Low wagers can be taken to reflect low
36 confidence and high wagers higher confidence in one's grammaticality
37 decision. The problem with wagering is that it is subject to loss aversion:
38 A person may wager low even though they have some confidence in their
39 decision, but they do not want any risk of losing the higher amount of
40 money. Indeed, Dienes and Seth (2010b) showed empirically that high-

1 low wagering was sensitive to loss aversion. Further, Dienes and Seth
2 introduced a loss-free gambling method for measuring confidence to elim-
3 inate the confounding effect of loss aversion. On each trial subjects made
4 two decisions. First was a grammaticality decision. Second was a choice of
5 one of two cards the subject had just shuffled. One card had a reward
6 printed on the back, the other was blank. Next the subject made a choice
7 between the two decisions. For whichever decision they chose, if they got
8 it right, they won the reward. If they got it wrong nothing happened.
9 Thus, subjects should always be motivated to bet on their grammaticality
10 decision if they had the slightest confidence that it was right: loss aversion
11 is irrelevant. Nonetheless, subjects sometimes chose to bet on the trans-
12 parently random process rather than their own grammaticality decision;
13 and on those trials subjects were still about 60% correct on their gramma-
14 ticality decision. This is unconscious knowledge by the guessing criterion.
15 Further, subjects were more accurate on the grammaticality decision when
16 they bet on that rather than the transparently random process: This is
17 conscious knowledge by the zero correlation criterion. Thus the method
18 confirmed that artificial grammar learning involves a mix of trials, some
19 on which subjects are aware of knowing grammaticality, and some on
20 which they are not. The use of no-loss gambling helps subjects appreciate
21 what we want them to understand by “guess”. “Guessing” on the gram-
22 maticality decision means one expects to perform no better than a random
23 process. In general, eliciting confidence by means of gambling enables
24 measuring the conscious status of knowledge in young children (Ruffman
25 et. 2001) and even some non-human primates (Kornell, Son, & Terrace,
26 2007).

27 A more indirect measure of awareness of knowing is to ability to control
28 (Jacoby, 1991: the “process dissociation procedure”). The logic of this
29 method is that if one is aware of one’s knowledge, one can control its use,
30 if so instructed. Fu Dienes and Fu (2010) showed that in one implicit
31 learning task (the SRT or serial reaction time task) when people said they
32 were guessing in predicting the next element they also had no control over
33 the use of knowledge: When asked to produce the next element according
34 to the rules they no more tended to produce rule-governed completions
35 than when asked to produce the next element such that it violated the
36 rules. Further when people had some confidence they also had some control.
37 So awareness of knowing as measured by confidence and as measured
38 by control went together in this case. However, control and conscious
39 knowledge do not always go together. Dienes et al. (1995) and Wan,
40 Dienes, and Fu (2008) showed that people could control which of two

1 grammars to use while believing they were completely guessing. That
2 is, unconscious knowledge can produce control, and thus the presence of
3 control does not definitively indicate the knowledge was conscious. None-
4 theless a lack of control (under self paced conditions) is a good indicator
5 of unconscious knowledge: If a person reliably produces grammatical
6 choices when told to pick only ungrammatical items, without time pressure,
7 it is often good evidence that the person is not actually aware of grammati-
8 cality (see Destrebecqz & Cleeremans, 2001; Fu, Fu, & Dienes, 2008; and
9 Rohrmeier, Fu, & Dienes, submitted, for examples).

10 In sum, to measure awareness of knowing that an item belongs to a
11 category, the method with least ambiguity is no-loss gambling. None-
12 theless, verbal confidence, where “guess” has been defined to subjects as
13 meaning equivalent to the outcome of a random process, also behaves
14 well and is not correlated with loss aversion (Dienes & Seth, 2010b).
15 Measures based on control can be informative, but may produce ambiguous
16 findings.

17

18

19 **4. Judgment versus structural knowledge**

20

21 A person has conscious knowledge that p when they are aware of knowing
22 that p, where p is any proposition. Mental states with different contents
23 are different mental states. ‘Knowing that p’ is different from ‘knowing
24 that q’ if p and q are different. Being conscious of knowing that p thus
25 entails that we know that we know specifically p. Being aware of knowing
26 q does not make knowledge of p conscious. Corollary: A good methodolog-
27 ical rule whenever a claim is made about a state or process being con-
28 scious or unconscious is to always specify the content said to be conscious
29 or unconscious (cf. Dienes & Seth, 2010c). For example, implicit memory
30 does not normally involve any unconscious knowledge per se, something
31 that becomes clear as soon as one tries to specify the content of the knowl-
32 edge involved. The presentation of a word may strengthen the connections
33 between the letters in the part of the cortex that codes words, making the
34 word a more likely completion to a stem. The knowledge applied in stem
35 completion is what letters can follow other letters and there is no reason to
36 think this is unconscious when the person completes the stem. Further
37 there is no reason to think the person has any knowledge, conscious or
38 unconscious, that the word was presented in the experiment (cf. Dulany,
39 1991). So implicit memory is not a case of unconscious knowledge. Implicit
40 learning, by contrast, does involve unconscious knowledge.

1 What are the knowledge contents involved in an implicit learning
2 experiment? When a person is exposed to a domain with some structure
3 they often acquire knowledge about that structure (e.g. Reber, 1989;
4 Gebhart, Newport, & Aslin, 2009). The knowledge might be of the condi-
5 tional probabilities of successive elements, of allowable chunks and their
6 probabilities of occurrence, of what letters can start a string, of allowable
7 types of symmetries and their probabilities, of particular allowed sequences,
8 and so on. Let us call all this knowledge ‘structural knowledge’. For any
9 of this knowledge to be conscious, one would have to be aware of having
10 specifically that knowledge. For example, to consciously know that “An
11 M can start a string” one would have to represent specifically “I know
12 that an M can start a string”. Such a metacognitive representation makes
13 a particular piece of structural knowledge conscious, namely the knowl-
14 edge that M can start a string. In a test phase a subject may make judg-
15 ments about whether a presented item is grammatical or not: Whether
16 this string is grammatical, or whether this item can occur next in the
17 sequence. Structural knowledge is brought to bear on the test item to
18 form a new piece of knowledge, for example, that this item has the struc-
19 ture of the training items. Let us call this knowledge ‘judgment knowl-
20 edge’. That is, when a subject makes a judgment that p, then the judgment
21 knowledge has content p. The structural knowledge is whatever other
22 knowledge the person had that enabled the judgement. For example, if a
23 subject judges that “MTTVX is grammatical” the judgment knowledge is
24 that “MTTVX is grammatical” and the structural knowledge the person
25 may have used are things like “An M can start a string”, “VX is an allow-
26 able bigram”, and “MTTVT is an allowable string”.

27 What knowledge do the methods of the last section determine the
28 conscious status of? When a person makes a judgment followed by a
29 confidence rating, the expressed confidence is in the judgment. Thus,
30 confidence ratings – whether verbal reports, high-low wagering, or no-loss
31 gambling – determine the conscious status of judgment knowledge. Simi-
32 larly, Jacoby’s method of measuring control, as applied to implicit learning
33 (Destrebecqz & Cleeremans, 2001; Dienes et al. 1995; Fu Fu & Dienes,
34 2008; Fu Dienes & Fu, 2010; Jiménez, Vaquero, & Lupiáñez, 2006; Wan
35 et al. 2008) measures ability to control making a judgment, and hence
36 measures the conscious status of judgment knowledge. If a person is con-
37 fident that this string is grammatical, they are aware of knowing this string
38 is grammatical, but that does not mean they are aware of the structural
39 knowledge that enabled that judgment. Similarly a person may, because
40

1 they consciously know that this item is grammatical, be able to choose
2 that item OR another one if instructed according to Jacoby's methods,
3 but that control over the judgment does not mean the person consciously
4 knows why it is grammatical.

5 The conscious status of judgment knowledge does not completely deter-
6 mine the conscious status of structural knowledge. Consider our knowledge
7 of our native language. Our structural knowledge can be largely uncon-
8 scious. Yet we may be sure that a given sentence is ungrammatical even
9 if we do not know why: Conscious judgment knowledge, unconscious
10 structural knowledge. But if a key divide between different learning mech-
11 anisms is between conscious and unconscious structural knowledge rather
12 than between conscious and unconscious judgment knowledge then we
13 need a method for measuring the conscious status of structural knowledge
14 rather than just of judgment knowledge.

15 Free report does measure the conscious status of structural knowledge.
16 But as mentioned, free report has its problems. Dienes and Scott (2005)
17 devised a simple method for measuring the conscious status of structural
18 knowledge that deals with these problems (see also Fu, Dienes, & Fu,
19 2010; Guo et al., in press; Rebuschat, 2008; Scott & Dienes 2008, 2010b,c;
20 Wan et al., 2008; Chen et al., in press). After every judgment subjects indi-
21 cate what the basis of the judgment was according to a set of attribution
22 categories: random, the judgment had no basis whatsoever; intuition, it
23 had some basis but the subject had no idea what it was; familiarity, the
24 decision was based on a feeling of familiarity but the subject had no
25 idea what the familiarity itself was based on; recollection, the basis was a
26 recollection of a string or strings or part(s) of the strings from training;
27 and rules, the basis was a rule or rules that the subject could state if asked.
28 Assuming the subject's judgments are above baseline for each attribution,
29 then: random attributions indicate that both judgment and structural
30 knowledge were unconscious; intuition and familiarity attributions indi-
31 cate that judgment knowledge was conscious but structural knowledge
32 was unconscious; and recollection and rules indicate both judgment and
33 structural knowledge were conscious. Thus, to measure the amount of
34 unconscious structural knowledge one can pool together random, intuition
35 and familiarity attributions, and to measure the amount of conscious struc-
36 tural knowledge one can pool together the recollection and rules attribu-
37 tions. If one wanted to compare conscious and unconscious judgment
38 knowledge, random attributions could be compared with intuition and
39 familiarity: the conscious status of structural knowledge has been con-
40 trolled because it is unconscious for each of these attributions.

1 One criticism of free report is that subjects may believe the experimenter
2 only wants to hear about rules and not specific recalled exemplars, or
3 some types of rule rather than another. The attributions in contrast do
4 not presuppose any particular form of conscious structural knowledge
5 beyond it being classifiable as rules or recollections. And if the subject
6 does not want to report a rule that might be wrong, that is fine: We do
7 not actually ask people to report their rules, just indicate if they have
8 one. Further, confidence can be elicited independently of the felt basis of
9 the judgment: If people used rules that they felt they were just guessing,
10 this can be indicated by a rules response and a separate confidence rating
11 (Scott & Dienes, 2008). The attributions are recorded trial by trial, and
12 can even be given by the very same button press as indicates the classifica-
13 tion judgment (Dienes, Baddeley, & Jansari, submitted), so forgetting should
14 not be an issue. The method, though simple, prima facie deals with many
15 problems that face measures of conscious knowledge. But the acid test of
16 its worth is whether it contributes to explaining empirical findings in a
17 theoretically motivated way. Subjects may simply pick arbitrarily amongst
18 the attributions according to whim or momentary bias. What evidence is
19 there that they reflect anything interesting?

20
21
22 **5. Have subjective measures of the conscious status of structural**
23 **knowledge proved their mettle?**

24
25 First we need to situate conscious and unconscious knowledge within a
26 theory. The theoretical claims should be sufficiently broad that they don't
27 depend on a theory so idiosyncratic that few would wish to strongly asso-
28 ciate the conscious-unconscious distinction with it; but sufficiently precise
29 that some predictions can be made. Relatedly, the theory should go beyond
30 defining conscious versus unconscious, but rather introduce properties that
31 differ between the conscious and the unconscious in an empirical, contingent
32 way rather than a conceptual way, so that the properties can be empirically
33 tested as differing between conscious and unconscious knowledge. (If the
34 properties were conceptually associated with the conscious-unconscious –
35 i.e. necessarily part of our proposed concept of that distinction – one
36 could not *test* whether the conscious-unconscious was associated with
37 those properties.) So here is a theoretical context that tries to satisfy these
38 constraints.

1 5.1. The theoretical context

2
3 Prototypical unconscious knowledge is the structural knowledge embedded
4 in the weights of a connectionist network (which could effectively learn
5 exemplars, abstractions or both: Cleeremans & Dienes, 2008). Typically
6 such knowledge does not need manipulation in working memory to be
7 applied; it just needs activation running through it. Why should such
8 knowledge empirically be unconscious? Because there is no reason why such
9 knowledge should be input to a device that forms higher order thoughts,
10 or awareness of knowing specific contents. For example, if accurate thoughts
11 about one's mental states are located in a specific location (e.g. the mid
12 dorsolateral prefrontal cortex according to Lau & Passingham, 2006), the
13 values of synaptic weights in other brain regions would not normally be
14 input to that location, only patterns of activation would be.

15 A connectionist network can classify by an overall goodness-of-fit
16 signal, that we postulate corresponds to a feeling of familiarity (Dienes,
17 Scott & Wan, 2011), such a feeling communicating the existence of un-
18 conscious structural knowledge to more general conscious mechanisms
19 (the function of "fringe feelings" according to Mangan, 1993, and Norman,
20 Price, Duff, & Mentzoni, 2007). For example, in a test phase, if part of a
21 string feels more familiar than another part, this can be used to form a
22 conscious rule about why that might be so.

23 Prototypical conscious structural knowledge is knowledge formed by
24 hypothesis testing: By the consideration of hypotheticals understood as
25 such, and also by the use of recollection. Why should such knowledge be
26 associated with awareness of knowing? First, the knowledge would be
27 represented as a pattern of activation rather than a pattern of connection
28 strengths – but this alone is not enough. Importantly, hypotheses, under-
29 stood as such, need to be represented in a format which explicitly marks
30 the distinction between reality and possibility, a level of explicitness Dienes
31 and Perner (1999, 2002) argued was close to explicitly marking knowledge
32 as knowledge. That is, the step from representing a tested hypothesis as
33 such to conscious knowledge is thus a short one. Recollection intrinsically
34 involves representing oneself as remembering, and hence involves awareness
35 of knowing (Perner & Ruffman, 1995; Searle, 1983). Further, hypothesis
36 testing (and recollection) usually require the use of working memory. It is
37 plausible that information in working memory is generally available to
38 different processing modules in the brain, including any that have the
39 function to form accurate higher order thoughts.

40

1 As connectionist networks become adapted to particular domains, they
2 learn to detect structures in new instances in that domain most easily
3 according to the structures already learned and their prior probabilities.
4 More generally, we postulate that people will unconsciously learn those
5 structures most easily in the lab which have a high prior probability of
6 being relevant in that domain, strictly statistical or not. Hypothesis testing
7 can become fixated and ruled by prior probabilities too; but it can also
8 make flexible jumps that can be useful or lead one systematically astray.

9 The model proposed is a dual process one – learning can be based on a
10 mechanism that acquires unconscious structural knowledge or a mecha-
11 nism that acquires conscious structural knowledge. Dual process models
12 have been criticised in the implicit learning literature, and single process
13 models proposed, possessing the virtue of simplicity (e.g. Shanks, 2005;
14 Cleeremans & Jiménez, 2002). These single-process authors use connec-
15 tionist networks where all knowledge of the grammar is embedded in the
16 weights. The “single process” aspect of the models are that they could, if a
17 metacognitive component were added, model both conscious and unconscious
18 judgment knowledge with a single learning device that acquires unconscious
19 structural knowledge (see Pasquali, Timmermans, & Cleeremans, 2010, for
20 an integrated connectionist model of learning and metacognition). How-
21 ever, the models still leave a necessary distinction between conscious and
22 unconscious structural knowledge, as they only model the latter (compare
23 Shanks & St John’s, 1994, distinction between exemplar-based and rule-
24 based learning). Thus, the single process proposals of Cleeremans and
25 Shanks are in principle consistent with the framework developed here.
26 Thus, the framework is sufficiently broad, it should capture the intuitions
27 of a large number of workers in the field.

29 5.2. The evidence

31 That is the theoretical context, showing that given a particular conceptual
32 approach to the conscious – unconscious knowledge distinction (viz con-
33 scious knowledge is knowledge one is conscious of), there are further
34 properties, based on theoretical speculation, that should be empirically
35 associated with conscious versus unconscious knowledge. Does the method
36 of measuring conscious status by the structural knowledge attributions
37 classify accurately often enough that it helps identify qualitatively different
38 types of knowledge that fit in with this theoretical framework? We now
39 consider the evidence. (Note that we do not require the attributions
40

1 *always* classify accurately – no instrument in science does that – just that
2 it classifies accurately enough we can get on with the science, refining the
3 measurement process as we go.)

4 1. Conscious unlike unconscious structural knowledge typically requires
5 manipulation in working memory for the knowledge to be applied to a
6 new test item. Thus engaging executive resources at test should interfere
7 specifically with conscious structural knowledge. Dienes and Scott (2005;
8 experiment 2) found just such a dissociation, where random number gener-
9 ation at test interfered with the application of conscious structural knowl-
10 edge but not at all for unconscious structural knowledge. (Interfering
11 with perceptual rather than executive resources interferes with both con-
12 scious and unconscious structural knowledge, Tanaka et al. 2008; Eitam,
13 Schul, & Hassin, 2009; Rowland & Shanks, 2006, as would be expected if
14 the input to a connectionist learning device were degraded.)

15 2. When unconscious knowledge is formed for a domain consisting of
16 simple statistics over well established perceptual units, it should be largely
17 accurate. Conscious knowledge may also be partially correct, but when it
18 is wrong it will lead to the same mistake being repeated – the repeated
19 application of a partially correct rule makes one both consistently correct
20 and consistently incorrect (cf. Reber, 1989, from which this prediction
21 is derived; see also Sun, 2002, whose conscious and unconscious systems
22 embody a similar principle). Indeed, Dienes and Scott (2005; experiment
23 1) found that when people gave unconscious structural knowledge attribu-
24 tions, they did not systematically misclassify strings (i.e. if a string was
25 misclassified one time it may be classified correctly the next). On the other
26 hand, when people gave conscious structural knowledge attributions, if
27 they made an error in classifying a string, the error was likely to be
28 repeated.

29 Likewise, Reed, McLeod and Dienes (2010) found that in a perceptual
30 motor domain where a simple rule exists for avoiding/creating intercep-
31 tions between us and other objects, a rule that no doubt has been crucial
32 to survival in the evolutionary past, the knowledge of the rule was uncon-
33 scious as revealed by confidence ratings. Further, conscious knowledge
34 was systematically wrong, misled by its own flexibility in considering all
35 sorts of possibilities of how things might be.

36 3. As the postulated differences between conscious and unconscious
37 structural knowledge reflect qualitatively different learning mechanisms,
38 differences between the mechanisms should not be reducible to a single
39 dimension, such as confidence (cf. Tunney 2007). Indeed, recent work by
40

1 Andy Mealor at the University of Sussex showed that the reaction times
2 for unconscious rather than conscious structural knowledge attributions
3 were different (as it turned out, longer). Reaction times were also corre-
4 lated with confidence, such that the lower the confidence the longer the
5 RTs. So could the difference in RTs between conscious and unconscious
6 knowledge be simply due to a difference in confidence? The answer is no:
7 Once confidence was partialled out, the reaction time difference between
8 conscious and unconscious structural knowledge remained.

9 The output of the unconscious mechanism is often not consciously
10 experienced as anything, or at least as not the output of a learning mecha-
11 nism (see Dienes, Scott & Wan, 2011). But often it is experienced as a feel-
12 ing of familiarity which people can rate. Scott and Dienes (2008) and Wan
13 et al. (2008) found a greater relation between rated feelings of familiarity
14 and classification when people said they were using feelings of familiarity
15 as their attribution than when they used other unconscious attributions
16 (Dienes, Scott & Wan, 2011). Thus, the attribution of familiarity is not
17 given arbitrarily. When feelings of familiarity are conscious, we can make
18 the following prediction:

19 4. If people have consciously worked out some aspects of structure,
20 they will have more knowledge relevant to making a classification than
21 is contained in their feelings of familiarity. This is just what Scott and
22 Dienes (2008) found. In a standard artificial grammar learning task, where
23 we know people acquire some accurate conscious structural knowledge
24 (Reber & Lewis, 1977), familiarity ratings of each item predicted gramma-
25 ticality judgments for both conscious and unconscious structural knowledge,
26 but judgments based on conscious structural knowledge had additional
27 discriminative ability above and beyond rated familiarity (unlike judgments
28 based on ‘familiarity’ attributions; cf Scott & Dienes, 2010c).

29 Thus, the attribution method shows its mettle by not simply *classifying*
30 different types of knowledge but *identifying* a real divide in nature, separ-
31 ating out knowledge qualitatively different in ways expected based on
32 theory. There is also additional evidence that people do not hand out the
33 attributions arbitrarily. Riccardo Pedersini working at the University of
34 Sussex found that Galvanic Skin Response was different for correct and
35 incorrect answers on an artificial grammar learning task. The difference
36 was significant for each attribution category, and of a very similar magni-
37 tude for the unconscious structural knowledge attributions amongst them-
38 selves and for the conscious structural knowledge attributions amongst
39 themselves – but strikingly different between conscious and unconscious
40

1 structural knowledge attributions¹. In sum, the different phenomenology
2 associated with conscious and unconscious structural knowledge corre-
3 sponds to objective differences in the properties of the knowledge. More
4 work remains to be done, of course: For example, are the structural
5 knowledge attributions useful in separating knowledge with different time
6 constants of decay (cf. Allen & Reber, 1980), or knowledge differentially
7 related to IQ (cf. Gebauer & Mackintosh, 2007) or other individual differ-
8 ence variables (cf. Scott & Dienes, 2010a)? To what extent does eliciting
9 the ratings change what type of knowledge is used?

10 Unconscious knowledge as revealed by this method is substantial, rep-
11 licable, and occasionally more powerful than conscious knowledge (Scott
12 and Dienes, 2010c; Reed, McLeod and Dienes, 2010). Typically 70% of
13 responses in the artificial grammar learning and similar tasks are attribut-
14 able to unconscious structural knowledge, with performance levels around
15 65%. Unconscious structural knowledge is not something that can be
16 conveniently ignored. Note also that striking qualitative differences were
17 obtained when responses were separated out on a trial by trial basis –
18 certain task conditions may on balance favour conscious or unconscious
19 knowledge, but tasks are unlikely to be process pure (Jacoby, 1991).

20 21 5.3. Summary

22 The conscious-unconscious distinction that comes out most strongly as
23 a real divide in nature is between conscious and unconscious structural
24 knowledge rather than between conscious and unconscious judgment
25 knowledge. Unconscious judgment knowledge corresponds to cases of
26 unconscious structural knowledge so establishing that judgment knowledge
27 is unconscious is useful in picking out one of the learning mechanisms; but
28 conscious judgment knowledge (associated with intuition, familiarity and
29 other fringe feelings) can also result from unconscious structural knowledge.
30

31
32 1. In Dienes, 2008, the Pedersini study was misreported as showing a difference
33 in the time of application of conscious versus unconscious structural knowl-
34 edge; in fact the zero point on the time axis for the graphs in Dienes 2008
35 was not defined as when the string was presented but as simply three seconds
36 from before feedback was given (feedback was given a set time after the subject
37 responded, not a set time from when the string was displayed). For this experi-
38 ment, the time relative to the presentation of the string is unknown. Thus the
39 time it takes for structural knowledge to apply cannot be inferred from the
40 graph. Nonetheless, the results do show a striking difference between conscious
and unconscious structural knowledge.

1 Now we have a method with some evidence of its worth (and more of
2 course needed), we can use it to explore the conscious-unconscious distinc-
3 tion further. We consider as examples some recent applications of the
4 method: First to the issue of whether there are Asian-Western cross-cultural
5 differences in unconscious processes; and second to the learning of stimuli
6 modelled on natural language.

9 **6. Cross cultural differences in unconscious processes**

10 Nisbett and colleagues have been arguing for the last couple of decades
11 that one's cultural background can profoundly affect cognitive processes
12 that one's cultural background can profoundly affect cognitive processes
13 (e.g. Nisbett, 2003). Specifically, Asians compared to Westerners take a
14 more global rather than analytic perspective, being especially sensitive to
15 context in conscious perception, memory, reasoning and social attributions,
16 with Westerners often having the reverse tendency. For example, Masuda
17 and Nisbett (2001) presented Japanese and Americans with underwater
18 scenes. In a subsequent recognition test, Japanese recognized previously
19 seen objects more accurately when they saw them in their original settings
20 rather than in novel settings, whereas this manipulation had relatively
21 little effect on Americans. Japanese tended to pay attention to the scene
22 globally, whereas Americans focused more on foreground objects.

23 A wealth of studies have investigated cross cultural differences in con-
24 scious processing, showing consistent medium to large effects for global/
25 analytic differences. However, the question of whether unconscious pro-
26 cesses are affected by culture remains unanswered. Reber (1989) argued
27 that some minimal level of attention was needed for implicit learning to
28 occur (cf. also e.g. Jiménez, & Méndez, 1999). Thus, one might expect
29 different attentional preferences in different cultures to lead to acquiring
30 unconscious knowledge of different types of structures. Kiyokawa, Dienes,
31 Tanaka, Yamada, and Crowe (submitted) tested this claim using an arti-
32 ficial grammar learning paradigm developed by Tanaka et al. (2008).

33 Tanaka et al. (2008) showed how global vs local attention could be
34 separated in artificial grammar learning. They used "GLOCAL" strings
35 (an example is shown in Figure 1) which are chains of compound letters
36 (Navon, 1977). A compound letter represents one large letter (i.e., a global
37 letter) composed of a set of small letters (i.e., local letters). A critical feature
38 of this stimulus is that while a GLOCAL string can be read as one string at
39 the global level (NVJTVJ in Figure 1), it can also be read as another string
40 at the local level (BYYFLB in Figure 1). Tanaka et al. found that when


```

1      B      B Y      Y      Y      FFFFFFFF L      L      B
2      BB     B Y      Y      Y      F      L      L      B
3      B B    B Y      Y      Y      F      L      L      B
4      B B    B Y Y      Y      Y      F      L L B      B
5      B      BB   YY      Y      Y      F      LL  B      B
6      B      B   Y      YYYYY  F      L      BBBBB

```

Figure 1. A GLOCAL string

people were instructed to attend at one particular level (global or local), they learned the grammar at that level, but not at the unattended level, confirming Reber’s claim of a minimal amount of attention needed for implicit learning (see also Eitam et al., 2009, for a related finding).

Kiyokawa et al. asked psychology students from Chubu University in Japan and from University of Sussex in the UK to attend to GLOCAL strings embodying two different grammars at the local and global level. For the group considered here, no instructions as to which level to attend were given. In a test phase, strings were presented in normal font, i.e. not in GLOCAL format, and their knowledge of each grammar tested, accompanied by structural knowledge attributions.

For conscious structural knowledge attributions, the proportion of correct responses was much higher for the global (83%) rather than the local (53%) grammar for the Japanese participants, but there was no global advantage for the UK students (75% versus 76%). These results conceptually replicate the pre-existing literature: For conscious processing, Asian people show a greater global preference than Western people. The real contribution of the study comes from considering cross cultural differences in unconscious knowledge. For unconscious structural knowledge, the proportion of correct responses was much higher for the global (67%) rather than the local (51%) grammar for the Japanese participants, but there was no global advantage for the UK students (60% versus 61%). In sum, Japanese participants showed a striking global advantage, performing at chance on local structure, whereas the UK participants learned similarly from both global and local levels. Importantly, this effect occurred when people were apparently unaware of the contents of the structural knowledge they had induced. Thus, cultural biases can profoundly affect the contents of unconscious and not just conscious states.

7. Learning language-like structures

Our second example of the application of measuring the conscious status of structural knowledge is the learning of language-like structures. Rebuschat

1 and Williams (2009) pointed out that “despite the widespread recognition
2 that language acquisition constitutes a prime example of implicit learning
3 . . . relatively little effort has been made, within linguistics or experimental
4 psychology, to investigate natural language acquisition within the theoret-
5 ical framework provided by implicit learning research”. Indeed, the struc-
6 tures typically investigated within the implicit learning field are exemplars,
7 chunks, conditional probabilities, or repetition patterns (see e.g. Pothos,
8 2007, for a review). These structures are generally relevant for learning
9 in most any domain. But does learning within particular domains, e.g.
10 language, come with biases or capabilities or limitations (either innate or
11 based on experience) for learning particular structures beyond those most
12 generic ones? Here we explore the acquisition of structures more closely
13 resembling natural language than has been typical in the implicit learning
14 literature.

15 Rebuschat and Williams (2009) presented English speaking subjects
16 with two-clause sentences constructed from English words, but with the
17 order of words obeying the rules of German grammar (e.g. “Last year
18 visited Susan Melbourne because her daughter in Australia lived”). In
19 particular, the authors were interested in whether the subjects could learn
20 the rules of verb phrase placement, which vary according to whether the
21 clause is main or subordinate and the first or second clause in the sentence.
22 In the training phase subjects assessed the semantic plausibility of the
23 sentences. In the test phase, subjects were informed of the existence of
24 rules and asked to classify sentences made of completely new words. The
25 test sentences were either grammatical or violated one or other of the
26 placement rules. After each grammaticality judgment subjects gave their
27 structural knowledge attribution (guess, intuition, rules or memory).
28 When people used intuition they classified significantly above baseline,
29 indicating unconscious structural knowledge. People also classified well
30 when they said they used rules, which appears to indicate some conscious
31 structural knowledge. However, in free report at the end of the experiment
32 no subject could articulate useful rules. The latter result likely reflects the
33 insensitivity of free report we have already discussed, and the greater
34 sensitivity of the structural knowledge attributions to picking up conscious
35 knowledge of structure than free report allows. An alternative possibility
36 is that the rules attributions may actually have reflected unconscious struc-
37 tural knowledge guiding people who consciously held only vague and
38 uninformative rules. Be that as it may, the intuition attributions provide
39 evidence for unconscious structural knowledge of verb placement regulari-
40 ties that can apply to new words. In other words, subjects had unconscious

1 knowledge of relations that went beyond exemplars, chunks or statistics
2 over words in themselves, and applied to verb placement within phrases.

3 Second language vocabulary acquisition is an area where conscious
4 learning has been emphasized (e.g. Ellis, 1994). Guo et al. (in press) ex-
5 plored an aspect of vocabulary acquisition, namely semantic prosody,
6 that plausibly involves unconscious knowledge. Semantic prosody is the
7 contextual shading in meaning of a word, largely uncaptured by diction-
8 ary definitions. Prosodies are often positive or negative; that is, the
9 target word is frequently used with either positive or else negative sur-
10 rounding words. For example, the word “cause” may seem to have the
11 simple meaning “to bring about”, but because the word is largely used in
12 contexts in which a negative event has been brought about (a tendency
13 that the Oxford English Dictionary does not mention) the word has a
14 negative semantic prosody. Chinese participants learning English were
15 exposed to English sentences containing one of six pseudo-words, presented
16 as real words, that substituted for a corresponding English word with
17 known positive or negative prosody (like “cause”). In the training phase,
18 participants read sentences providing a consistent positive or negative
19 context for each pseudo-word. In the test phase, participants judged the
20 acceptability of the target pseudo-words in new sentences, which provided
21 a context that was either consistent or inconsistent with the trained prosody.
22 After each judgment, structural knowledge attributions were given. When
23 participants gave unconscious as well as conscious structural knowledge
24 attributions, they accurately discriminated appropriate and inappropriate
25 contexts for the pseudo-words. Thus, second language vocabulary acqui-
26 sition may be partly conscious, especially for core meanings, but people
27 acquire both conscious and unconscious knowledge of shadings of meaning.

28 Williams (2004, 2005) constructed a rule to create noun phrases in
29 which determiners before nouns were categorized according to animacy:
30 living things used one set of determiners and non-living things another.
31 (In English determiners include: ‘the’, ‘a’, ‘that’, ‘this’.) Williams asked
32 participants to translate Italian phrases into English, phrases which followed
33 the form-animacy regularity mentioned. On a later test using both trained
34 and generalization items, participants responded correctly on a forced-choice
35 test of form-meaning connections. In a post task free report, most partici-
36 pants claimed that they were not aware of the relevance of animacy during
37 training, leading Williams to suggest the knowledge of the use of different
38 determiners for animate and inanimate objects was unconscious. Chen et al.
39 (in press) conceptually replicated the procedure with Chinese subjects,
40 using structural knowledge attributions to provide a more sensitive test of

1 the conscious status of the structural knowledge. Chen et al. used characters
 2 unknown to the participants as determiners, in sentences that were other-
 3 wise standard Chinese. As in the Williams experiments, the correct deter-
 4 miner varied according to the animacy (and also distance) of the modified
 5 noun phrase. In the training phase, subjects were exposed to sentences
 6 following the regularities. In the test phase, new sentences were judged
 7 for acceptability, followed by structural knowledge attributions. When
 8 structural knowledge was unconscious, people classified the right deter-
 9 miner according to the animacy of the modified noun phrase at about
 10 60% correct, significantly above chance. People also classified about 60%
 11 when using conscious structural knowledge, though no subject was willing
 12 to report any regularity in post task free report.

13 In natural languages determiners can be sensitive to a range of features.
 14 For example, in English, the determiners ‘this’ versus ‘that’ make a near-
 15 far distinction. In Mandarin, animacy is also relevant. Thus, animacy is a
 16 linguistically relevant feature, that is, a feature that in natural languages
 17 selects different determiner forms for different nouns. Chen et al. showed
 18 that use of another feature (smaller or larger than a prototypical dog)
 19 instead of animacy did not result in learning under the same conditions.
 20 Thus, implicit learning only becomes sensitive to some of the available
 21 regularities. We propose it is those regularities with a high prior probability
 22 of being relevant within a particular domain, a proposal that needs further
 23 investigation (for other examples see Ziori & Dienes, 2008; also: Dienes,
 24 Kuhn, Guo, & Jones, in press; Rohrmeier & Cross, 2010; Rohrmeier,
 25 Rebuschat, & Cross, in press).

26 In sum, adults learning language structures acquire unconscious as
 27 well as conscious knowledge of a range of such structures in syntax and
 28 vocabulary.

31 **8. Structural versus statistical learning**

33 Some of the structures people learn in implicit learning experiments can
 34 be described as straight-forwardly statistical: n-gram statistics, conditional
 35 probabilities, or even the joint or conditional probabilities of events a fixed
 36 distance apart (X - - - Y, where the blanks could be anything; Remillard,
 37 2008). The semantic prosody in Guo et (in press), described above, is a
 38 statistical relation between a word and the valence of its context; the
 39 form-meaning correspondence in Williams (2004, 2005) and Chen et al.
 40 (in press) is a statistical association between a form and a semantic feature.

1 However, not all structure is straight-forwardly statistical. The structure
 2 of having mirror symmetry is not in itself statistical (Dienes & Longuet-
 3 Higgins, 2004; Kuhn & Dienes, 2005), although learning it may involve
 4 dealing with statistics (as e.g. the model of Kuhn & Dienes, 2008, does).
 5 While Rebuschat and Williams (2009) looked at learning what look like
 6 simple verb placement rules, true sensitivity to them requires sensitivity to
 7 phrases and clauses per se, and not just to words, or statistical relations
 8 between words at fixed positions. Similarly, implicit learning of recursively
 9 embedded phrase structure (Rohrmeier, Fu, & Dienes, submitted) involves
 10 more than learning statistics over the terminal elements themselves.
 11 Bayesian approaches of course recognize the need to specify prior struc-
 12 tures (Perfors & Navarro, this volume). Bayesian approaches provide a
 13 framework for integrating learning of structure and statistics. However,
 14 calling the overall learning phenomenon “statistical learning” may prejudice
 15 divides in nature that may not exist (statistical versus structural learning)
 16 and may divert attention away from exploring the possible conscious vs
 17 unconscious learning of other interesting structures, that are not straight-
 18 forwardly regarded as statistical (e.g. the structural learning investigated
 19 by Z. P. Dienes & Jeeves, 1965; cf. Halford & Busby, 2007). Thus, it might
 20 be best simply to think about the field of research as simply the acquisition
 21 of (conscious and) unconscious knowledge of structure.

22

23

24 9. Conclusion

25

26 This chapter has argued that the distinction between conscious and uncon-
 27 conscious structural knowledge is an important one for learning researchers to
 28 take into account. The chapter argues for a particular simple trial-by-trial
 29 methodology (categorical attributions for the basis of judgments, as first
 30 introduced in Dienes & Scott, 2005) and attempts to justify it philosophi-
 31 cally and scientifically. If the method does pick out the products of different
 32 learning mechanisms, as is argued, then even researchers not interested in
 33 the conscious – unconscious distinction per se, but simply interested in char-
 34 acterizing the nature of learning, would benefit from employing the method.

35

36

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