# "About the possible blow up for incompressible 3D Navier-Stokes equation".



## The incompressible Navier-Stokes system in $\mathbb{R}^3$

(NS) 
$$\begin{cases} \partial_t u + \operatorname{div}(u \otimes u) - \Delta u = -\nabla p \\ \operatorname{div} u = 0 \\ u_{|t=0} = u_0 \end{cases}$$

where

$$v = (v^1, \cdots, v^d), \quad v \cdot \nabla = \sum_{j=1}^d v^j \frac{\partial}{\partial x_j}, \quad \nabla p = \left(\frac{\partial p}{\partial x_1}, \cdots, \frac{\partial p}{\partial x_d}\right),$$
$$\Delta = \sum_{j=1}^d \frac{\partial^2}{\partial x_j^2} \quad \text{and} \quad \operatorname{div} v = \sum_{j=1}^d \frac{\partial v^j}{\partial x_j}.$$



#### **Basic facts**

$$\frac{1}{2} \|u(t)\|_{L^2(\mathbb{R}^3)}^2 + \int_0^t \|\nabla u(t')\|_{L^2(\mathbb{R}^3)}^2 dt' = \frac{1}{2} \|u_0\|_{L^2(\mathbb{R}^d)}^2 \quad \text{and}$$

 $\lambda u(\lambda^2 t, \lambda x)$  is solution on  $[0, \lambda^{-2}T]$  if u is solution on [0, T].

Global weak solution (J. Leray, 1934) and give the right regularity in Sobolev spaces for the initial data to get uniqueness :  $\dot{H}^{\frac{1}{2}}$ 



#### The case when the initial data is more regular than the scaling.

**Theorem** Let  $u_0$  be in  $\dot{H}^s$  with s in ]1/2, 3/2[. Then a unique solution exists in the space in the space

## $C([0,T]; \dot{H}^s \cap L^2([0,T]; \dot{H}^{s+1}).$

Moreover a constant  $c_s$  exists such that if  $T^*(u_0)$  denotes the maximal time of existence, then

$$T^{\star}(u_0) \|u_0\|_{\dot{H}^s}^{\frac{4}{2s-1}} \ge c_s.$$

**Corollary** For such solution, if  $T^{\star}(u_0)$  is finite, we have

 $||u(t)||_{\dot{H}^s} \ge c_s (T^{\star}(u_0) - t)^{-\frac{1}{2}\left(s - \frac{1}{2}\right)}.$ 



We have (Escauriaza, Segerin and Sverak, 2003)

 $\lim_{t\to T^{\star}(u_0)} \|u(t)\|_{\dot{H}^{\frac{1}{2}}} = \infty.$ 

With the notation 
$$\sigma_s \stackrel{\text{def}}{=} \frac{4}{2s-1}$$
 do we have  
$$\lim_{t \to T^{\star}(u_0)} (T^{\star}(u_0) - t) \|u(t)\|_{\dot{H}^s}^{\sigma_s} = \infty?$$



#### P. Gérard's profiles theory : the concept of scales and cores

**Definition** A sequence  $(\lambda_{n,j}, x_{n,j})_{(n,j) \in \mathbb{N}^2}$  of  $]0, \infty[\times \mathbb{R}^3$  is a sequence of scales and cores if

— for all positive j and j' such that  $j \neq j'$ , we have

$$\lim_{n \to \infty} \frac{\lambda_{n,j}}{\lambda_{n,j'}} + \frac{\lambda_{n,j'}}{\lambda_{n,j}} = \infty \quad or \quad \lambda_{n,j} \equiv \lambda^{j'}$$

— for all positive j and j' such that  $j \neq j'$ , we have

$$\lambda_{n,j} \equiv \lambda^{j'} \Longrightarrow \lim_{n \to \infty} \frac{|x_{n,j} - x_{n,j'}|}{\lambda_{n,j}} = \infty.$$

**Notation** For a given s in ]0, 3/2[, defining  $p \stackrel{\text{def}}{=} \frac{6}{3-2s}$ ,

$$(\Lambda_n^j \varphi)(t, x) \stackrel{\text{def}}{=} \lambda_{n, j}^{-\frac{3}{p}} \varphi \left( \frac{t}{\lambda_{n, j}^2}, \frac{x - x_{n, j}}{\lambda_{n, j}} \right)$$



#### P. Gérard's profiles theory : the decomposition theorem

**Theorem** (P. Gérard, 1996) Let s be in ]0, 3/2[. We consider  $(u_n)_{n \in \mathbb{N}}$  a bounded sequence in  $\dot{H}^s$ . Then there exist - a sequence  $(\varphi^j)_{j \in \mathbb{N}}$  of functions in  $\dot{H}^s$ ,

- a sequence  $(\psi_n^j)_{(n,j)\in\mathbb{N}^2}$  of functions in  $\dot{H}^s$ , a sequence of scales and cores  $(\lambda_{n,j}, x_{n,j})_{(n,j)\in\mathbb{N}^2}$

such that, up to an omitted extraction, we have, for any J in  $\mathbb{N}$ ,

$$u_n = \sum_{j=0}^J \Lambda_n^j \varphi^j + \psi_n^J \quad \text{with}$$
$$\|u_n\|_{\dot{H}^s}^2 = \sum_{j=0}^J \|\varphi^j\|_{\dot{H}^s}^2 + \|\psi_n^J\|_{\dot{H}^s}^2 + o_J(1) \quad \text{and}$$
$$\lim_{J \to \infty} \limsup_{n \to \infty} \|\psi_n^J\|_{L^p} = 0 \quad \text{and} \quad p = \frac{6}{3 - 2s}.$$

**Remark** We have that 
$$\lambda_{n,j}^{\frac{3}{p}} u_n(\lambda_{n,j}(\cdot + x_{n,j})) \rightharpoonup \varphi^j$$
.

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#### The non interaction theorem

**Theorem** [E. Poulon, 2015] Let  $(u_{0,n})_{n \in \mathbb{N}}$  be a bounded sequence of  $\dot{H}^s$ . Then, up to an extraction, we have

 $\lim_{n \to \infty} T^{\star}(u_{0,n}) \ge \inf\{T^{\star}(\varphi^{j}), \ j \in \mathcal{J}_{0}\}$ 

where  $\mathcal{J}_0$  is the set of j such that  $\lambda_{n,j} \equiv 1$  in the profile decomposition.

#### Ideas of the proof

— The scaling gives

$$NS(\Lambda_n^j(\varphi^j)) = \Lambda_n^j(NS(\varphi^j))$$

— If  $\lambda_{n,j} \not\equiv 1$ , then  $\lim_{n \to \infty} \|\Lambda_n^j \varphi^j\|_{\dot{H}^{s\pm\varepsilon}} = 0$ , — If  $j \neq j'$ ,  $NS(\Lambda_n^j(\varphi^j))$  and  $NS(\Lambda_n^{j'}(\varphi^{j'}))$  do not interact and

$$\|NS(u_{0,n})(t)\|_{\dot{H}^{s}}^{2} \sim \sum_{j=0}^{J} \|NS(\Lambda_{n}^{j}(\varphi^{j}))(t)\|_{\dot{H}^{s}}^{2} + \|e^{t\Delta}\psi_{n}^{J}\|_{\dot{H}^{s}}^{2}.$$



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#### The concept of critical solution

**Definition** Let us define

$$\rho_s \stackrel{\text{def}}{=} \inf \left\{ \|u_0\|_{\dot{H}^s} / T^{\star}(u_0) = 1 \right\}.$$

The scaling implies that, for any  $u_0$  such that  $T^*(u_0)$  is finite then

$$T^{\star}(u_0) \|u_0\|_{\dot{H}^s}^{\sigma_s} \geq 
ho_s^{\sigma_s} \quad ext{with} \quad \sigma_s \stackrel{ ext{def}}{=} rac{4}{2s-1} \cdot$$

**Theorem** Let us define

$$\mathcal{M}_s \stackrel{\text{def}}{=} \left\{ u_0 \in \dot{H}^s / T^{\star}(u_0) = 1 \text{ and } \|u_0\|_{\dot{H}^s} = \rho_s \right\}.$$

The set  $\mathcal{M}_s$  is non empty. Moreover it is compact up to translation which means that any sequence  $(u_{0,n})_{n\in\mathbb{N}}$  of  $\mathcal{M}_s$ , up to an extraction is such that  $u_{0,n}(\cdot - x_n)$  is convergent in  $\dot{H}^s$  for some sequence  $(x_n)_{n\in\mathbb{N}}$ .



#### Ideas of the proof

Let us consider a sequence  $(u_{0,n})_{n \in \mathbb{N}}$  such that

 $\lim_{n \to \infty} \|u_{0,n}\|_{\dot{H}^s} = \rho_s \quad \text{and} \quad T^*(u_{0,n}) = 1.$ 

Then up to an extraction, we get

$$1 = \limsup_{n \to \infty} T^{\star}(u_{0,n}) \ge \inf_{j \in \mathcal{J}_0} T^{\star}(\varphi^j).$$

If there is more than one profils for j in  $\mathcal{J}_0$ , then their  $\dot{H}^s$  norm is less than  $\rho_s$  and thus their life span is greater than 1.

#### Contradiction



#### The description of the possible blow up

From now on we assume that some initial data  $u_0$  exists in  $\dot{H}^s$  with finite blow up time  $T^*(u_0)$  which satisfies

$$(\mathcal{H}) \quad \sup_{t < T^{\star}(u_0)} (T^{\star}(u_0) - t) \| NS(u_0)(t) \|_{\dot{H}^s}^{\sigma_s} \le M \,.$$

**Definition** Let  $\mathcal{M}_s$  be the infinum of the M such that  $(\mathcal{H})$  is satisfied. We say that  $u = NS(u_0)$  is a sup-critical solution if it satisfies

 $T^{\star}(u_0) < \infty$  and  $\limsup_{t \to T(u_0)} (T^{\star}(u_0) - t) \|NS(u_0)(t)\|_{\dot{H}^s}^{\sigma_s} = \mathcal{M}_s.$ 



**Theorem** [E. Poulon, 2015] An initial data  $u_0$  exists in  $\dot{B}_{2,\infty}^{\frac{1}{2}} \cap \dot{H}^s$  such that  $NS(u_0)$  is sup-critical and bounded in time with value in  $\dot{B}_{2,\infty}^{\frac{1}{2}}$  where the Besov norm for regularity r in ]0,1[ is defined by

$$\|a\|_{\dot{B}^r_{2,\infty}} \stackrel{\text{def}}{=} \sup_{x \in \mathbb{R}^3} \frac{\|a(\cdot - x) - a\|_{L^2}}{|x|^r}$$

Question

Close to Escauriaza-Segerin-Sverak theorem generalized by I. Gallagher, G. Koch and F. Planchon... or (very) far away?



#### Ideas of the proof

The method consists in creating solution with additional properties from a solution satisfyng  $(\mathcal{H})$ .

The main tools are the profile decomposition and the almost orthogonality identity.



#### The existence of a sup-critical solution

Let us consider a sequence  $(u_{0,n})_{n\in\mathbb{N}}$  such that

 $\limsup_{t\to T^{\star}(u_0)} (T^{\star}(u_0)-t) \|NS(u_{0,n})(t)\|_{\dot{H}^s}^{\sigma_s} \leq \mathcal{M}_s + \frac{1}{n} \cdot$ 

A sequence  $(t_n)_{n \in \mathbb{N}}$  tending to  $T^{\star}(u_0)$  exists such that

$$\sup_{t \ge t_n} (T^{\star}(u_0) - t) \| NS(u_{0,n})(t) \|_{\dot{H}^s}^{\sigma_s} \le \mathcal{M}_s + \frac{2}{n}$$

Let us define  $v_{0,n}(y) \stackrel{\text{def}}{=} (T^*(u_0) - t_n)^{\frac{1}{2}} NS(u_{0,n})(t_n, (T^*(u_0) - t_n)^{\frac{1}{2}}y))$ . We have  $T(v_{0,n}) = 1, \|v_{0,n}\|_{\dot{H}^s} \leq \mathcal{M}_s \text{ and}$  $\sup_{\tau < 1} (1 - \tau) \|NS(v_{0,n})(\tau)\|_{\dot{H}^s}^{\sigma_s} \leq \mathcal{M}_s + \frac{2}{n}$ .

The profile decomposition of the sequence  $(v_{0,n})_{n\in\mathbb{N}}$  is of the form

$$v_{0,n} = \varphi(x - x_n) + \sum_{j \leq J, \lambda_{n,j} \neq 1} \Lambda_n^j \varphi^j + \psi_{n,J}.$$



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# The boundedness in the Besov space $\dot{B}_{2,\infty}^{\frac{1}{2}}$ .

Let us write that

$$NS(u_0) = e^{t\Delta}u_0 + F(u_0).$$

**Principle** The term  $F(u_0)$  is better that  $NS(u_0)$ . More precisely here

$$(T^{\star}(u_0)-t)\|NS(u_0)(t)\|_{\dot{H}^s}^{\sigma_s} \leq M \Longrightarrow \|F(u_0)(t)\|_{\dot{B}^{\frac{1}{2}}_{2,\infty}} \leq CM^2.$$

Then,  $NS(u_0)$  being a sup-critical solution, let us write

$$v_{0,n}(y) \stackrel{\text{def}}{=} (T^*(u_0) - t_n)^{\frac{1}{2}} NS(u_0)(t_n, (T^*(u_0) - t_n)^{\frac{1}{2}}y) = (T^*(u_0) - t_n)^{\frac{1}{2}} (e^{t\Delta} u_0)((T^*(u_0) - t_n)^{\frac{1}{2}}y) + (T^*(u_0) - t_n)^{\frac{1}{2}} F(u_0)(t_n, (T^*(u_0) - t_n)^{\frac{1}{2}}y).$$



#### THANK YOU VERY MUCH FOR ATTENTION

